

Minimal Symmetric Differences of lines in Projective Planes

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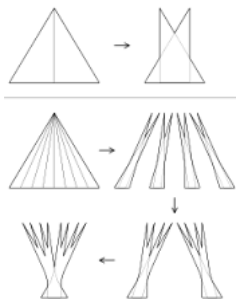
Joint work with Béla Bollobás, Zoltán Füredi, and John Thompson.

The Kakeya problem

What is the smallest set in the plane that contains a unit line segment in every direction?

Theorem (Besicovich, 1963)

The set can have arbitrarily small area.



The Kakeya problem in \mathbb{F}_q^n

What is the smallest set S in the affine space \mathbb{F}_q^n that contains a line in every direction?

Theorem (Dvir, 2008)

$$|S| \geq \binom{q^n + n - 1}{n}.$$

This proves the **Finite field Kakeya conjecture** (Wolfe, 1999) that $|S| \geq c_n |\mathbb{F}_q^n|$.

In 2 dimensions, $|S| \geq \frac{q(q+1)}{2}$.

Best known upper bound $|S| \leq \frac{q(q+1)}{2} + \frac{5q}{14} + O(1)$ (Cooper, 2006)

A generalization

Instead of insisting that all directions are represented, what if we just insist that we have r distinct lines. For simplicity we shall now work in projective space $PG(2, q)$.

Let \mathcal{P} be the set of points, \mathcal{L} the set of lines in $PG(2, q)$, and $N = |\mathcal{P}| = |\mathcal{L}| = q^2 + q + 1$.

Question

What is $g(r) = \min_{R \subseteq \mathcal{L}, |R|=r} \left| \bigcup_{\ell \in R} \ell \right|$?

Note that by duality between points and lines

$$g(r) = \min_{S \subseteq \mathcal{P}, |S|=r} \left| \{ \ell \in \mathcal{L} : \ell \cap S \neq \emptyset \} \right|.$$

Unions of lines

Clearly $g(r) \geq r(q+1) - \binom{r}{2}$ as each pair of lines intersects in one point, and the smallest union occurs when all these intersections are distinct.

In the dual viewpoint we have equality $g(s) = s(q+1) - \binom{s}{2}$ iff no three points of S are co-linear.

Lemma

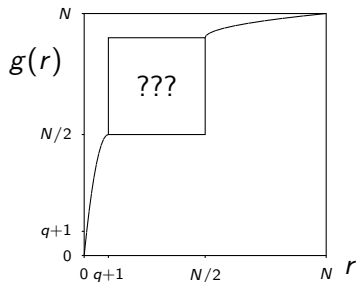
$g(r) = r(q+1) - \binom{r}{2}$ for $r \leq q+1$.

Proof. Use a subset S of size r of a conic in $PG(2, q)$. □

Unions of lines

$$g(r) \leq s \iff g(N - s) \leq N - r \iff$$

There exists a point set of size $N - s$ non-incident to a line set of size r .
Hence



Question

What is $g(r)$ for $q + 1 < r < (q + 1)(q + 2)/2$?

Symmetric differences

Instead of unions, what happens if we take symmetric differences?

Consider subsets of \mathcal{P} as a binary vector in $\mathbb{F}_2^{\mathcal{P}}$.

From now on we shall always assume q is odd.

Define

$$f(r) = \min_{R \subseteq \mathcal{L}, |R|=r} \left| \sum_{\ell \in R} \ell \right|$$

to be the size of the smallest symmetric difference between r distinct lines of $PG(2, q)$.

Symmetric differences

Define for $R \subseteq \mathcal{L}$, $S \subseteq \mathcal{P}$,

$$\mathcal{P}^\circ(R) = \sum_{\ell \in R} \ell = \{p \in \mathcal{P} : p \text{ lies in an odd number of } \ell \in R\},$$

$$\mathcal{L}^\circ(S) = \{\ell \in \mathcal{L} : |\ell \cap S| \text{ is odd}\}.$$

Then

- $\mathcal{P}^\circ: \mathbb{F}_2^{\mathcal{L}} \rightarrow \mathbb{F}_2^{\mathcal{P}}$ and $\mathcal{L}^\circ: \mathbb{F}_2^{\mathcal{P}} \rightarrow \mathbb{F}_2^{\mathcal{L}}$ are both linear maps.
- $\ker \mathcal{P}^\circ = \{\emptyset, \mathcal{L}\}$, $\ker \mathcal{L}^\circ = \{\emptyset, \mathcal{P}\}$.
- $|\mathcal{P}^\circ(R)|$ and $|\mathcal{L}^\circ(S)|$ are always even.
- \mathcal{P}° and \mathcal{L}° are inverse isomorphisms between the even weight subspaces of $\mathbb{F}_2^{\mathcal{P}}$ and $\mathbb{F}_2^{\mathcal{L}}$.

Also

$$f(r) = \min_{R \subseteq \mathcal{L}, |R|=r} |\mathcal{P}^\circ(R)| = \min_{S \subseteq \mathcal{P}, |S|=r} |\mathcal{L}^\circ(S)|.$$

Some simple observations

Lemma

$$f(r) = f(N - r)$$

Proof. Each point lies in $q + 1$ lines, and $q + 1$ is even, so $\sum_{\ell \in \mathcal{L}} \ell = 0$. Thus $|\mathcal{P}^\circ(R)| = |\mathcal{P}^\circ(\mathcal{L} \setminus R)|$ and so $f(r) = f(N - r)$. \square

Some simple observations

Lemma

- $r(q + 2 - r) \leq f(r) \leq rq + (r \bmod 1)$,
- $f(r) = r(q + 2 - r)$ for $0 \leq r \leq q + 1$.

Proof. To minimize the symmetric difference between a set of lines, one would like all intersection points between lines to be distinct. Then $|\mathcal{P}^\circ(R)| = r(q + 2 - r)$. This can be obtained by taking the dual of r points on a conic if $r \leq q + 1$.

It is clear that $f(1) = q + 1$ and $f(2) = 2q$, and $f(x + y) \leq f(x) + f(y)$. Hence $f(r) \leq rq + (r \bmod 1)$. \square

Some simple observations

Lemma

$$f(r) \equiv r(q + 2 - r) \pmod{4}.$$

Proof. Consider adding the r th line. It must meet all previous $r - 1$ lines, so the number of intersection points where it meets an odd number of previous lines is $x \equiv r - 1 \pmod{2}$. But the symmetric difference then increases by $q + 1 - 2x \equiv q + 3 - 2r \pmod{4}$. Thus the symmetric difference is the same mod 4 as if all intersection points between pairs of lines are distinct. \square

Some observations

Lemma

$$|f(r+1) - f(r)| \leq q - 1 \text{ for } 0 < r < N - 1.$$

Proof. One can always add a line that meets $\mathcal{P}^o(R)$ when $R \neq \emptyset, \mathcal{L}$. Thus $f(r+1) \leq f(r) + q - 1$.

The reverse inequality follows as $f(r) = f(N - r)$. □

There are in fact several values of r for which $|f(r+1) - f(r)| = q - 1$.

The middle range

For almost all values $Cq^{3/2} < r < N - Cq^{3/2}$, it is possible to calculate $f(r)$ exactly. (However, there does not seem to be a nice way of describing the answer). In particular, for these values of r , $f(r)$ is quite small.

Theorem

$f(r) \leq q$ for $Cq^{3/2} < r < N - Cq^{3/2}$.

The middle range

Fix an set S of points of even size. Then if $R = \mathcal{L}^\circ(S)$ we have $S = \mathcal{P}^\circ(R)$. As $f(r)$ is always even, determining $f(r)$ for even r is equivalent to the following:

Find the smallest even sized set S such that $|\mathcal{L}^\circ(S)| = r$.

For odd r we have $f(r) = f(N - r)$ and $N - r$ is even.

Clique decompositions

Given S , we can use the lines of the projective plane to edge-decompose the complete graph K_S into cliques $K_{\ell \cap S}$.

Indeed, each edge of K_S lies in a unique line $\ell \in \mathcal{L}$ and this line joins all pairs of points in $\ell \cap S$.

List the lines of \mathcal{L} as ℓ_1, \dots, ℓ_N and define $s_i = |\ell_i \cap S|$.

Let Π be an edge-decomposition of K_S into cliques of size s_i . Define

$$M(\Pi) = \sum \left\lfloor \frac{s_i}{2} \right\rfloor$$

Lemma

If $|S| = s$ is even, and Π is the clique decomposition corresponding to S . Then $|\mathcal{L}^\circ(S)| = s(q+1) - 2M(\Pi)$.


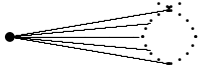
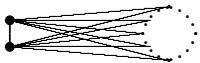
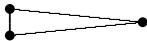
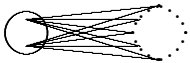
Clique decompositions

Hence for a given size s of S , it is enough to:

- Determine the possible values of $M(\Pi)$ when Π is an arbitrary clique decomposition of K_s .
- Determine which of these clique decompositions can be realized in the projective plane.

In practice, for s not too close to 0 or $q + 1$, one gets a solid range of possible values for $M(\Pi)$, subject to parity, from about $s + O(\sqrt{s})$ to $\gg s$. We also get a few explicitly determined values from s to $s + O(\sqrt{s})$. From these it is easy to determine the minimum $|S|$ for which $|\mathcal{L}^o(S)| = r$ is solvable when r is not too close to 0 or N .

Clique decompositions

Π	$M(\Pi)$	Clique decomposition
s	$\lfloor \frac{s}{2} \rfloor$	
$s - 1, 2, \dots, 2$	$\lfloor \frac{s-1}{2} \rfloor + s - 1$	
$s - 2, 2, 2, \dots, 2$	$\lfloor \frac{s-2}{2} \rfloor + 2(s - 2) + 1$	
$s - 2, 3, 2, \dots, 2$	$\lfloor \frac{s-2}{2} \rfloor + 2(s - 2) - 1$	 use as triangle
$s - i, 2, \dots, 2$	$\lfloor \frac{s-i}{2} \rfloor + i(s - i) + \binom{i}{2}$	
$s - i, 3, \dots, 2$	$\lfloor \frac{s-i}{2} \rfloor + i(s - i) - \binom{i}{2}$	varies in steps of 2

As $s_1 = s - i$ decreases, a range of values (in steps of 2) is possible. For $i \gg \sqrt{s}$ these ranges overlap and give a solid range of possible $M(\Pi)$.

Clique decompositions

Definition

Π is simple if all but one clique is either an edge or a triangle.

Theorem

If there exists a clique decomposition of K_s with $M(\Pi) < \frac{1}{4}s(\sqrt{4s-3}-1)$ then there exists a simple clique decomposition Π' with $M(\Pi') = M(\Pi)$.

As the interesting $M(\Pi)$ are $O(s)$, we can reduce to the case of simple clique decompositions.

Realizing decompositions

Suppose we have a (simple) clique decomposition of K_s , can we realize it with a set S of size s in $PG(2, q)$?

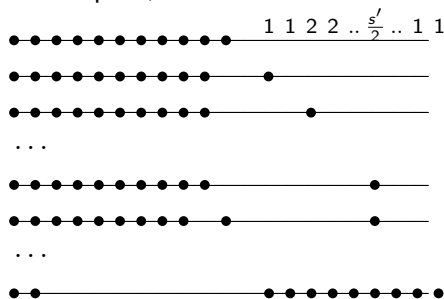
Construction:

Put s_1 points on a line at infinity ℓ_∞ , say $T \subseteq \ell_\infty$. Put the other $s' = s - s_1$ points C on the conic $y = x^2$, at $(0, 0), (1, 1), (2, 4), \dots$

Then ℓ_∞ induces the clique K_{s_1} and all lines through the remaining points induce either K_2 s or K_3 s. The number of K_3 s is the number of lines through two points of C that meet T .

Realizing decompositions

Note that there is 1 line through points of C with slope 1, 1 with slope 2, 2 with slope 3, 2 with slope 4, ...



Theorem

If $0 \leq s \leq q + 1$ and $s_1 \geq \max\{(2s - 3)/3, (2s - 3) - (q + 1)\}$ then any simple decomposition Π of K_s can be realized by a set of points in $PG(2, q)$.

Calculating $f(r)$

If r is odd, calculate $f(N - r)$ instead.

Loop through even s with $qs \geq r$.

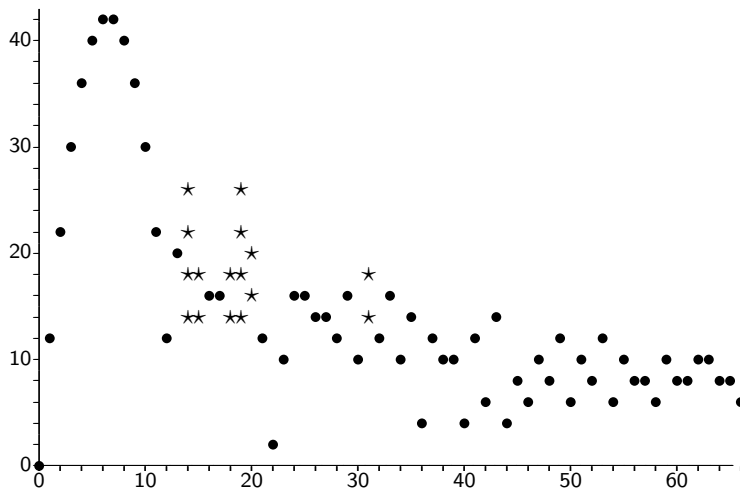
If there is a simple clique decompositions of K_s with $r = s(q + 1) - 2M(\Pi)$ and it can be realized in $PG(2, q)$, return s .

Otherwise, if there is a simple clique decompositions of K_s with $r = s(q + 1) - 2M(\Pi)$, return “undetermined”

If not every clique decomposition is equivalent to a simple one, return “undetermined”.

Repeat

Numerical results $q = 11$



Other results

Theorem

The maximum of $f(r)$ occurs at
 $r = (q + 1)/2, (q + 3)/2, N - (q + 1)/2, N - (q + 3)/2.$

Theorem

$f(2q - 1) = q + 1, f(2q) = 2, f(2q + 1) = q - 1.$

Theorem

$3(q + 1)/2 \leq f(q + 2) \leq 2q - 2.$

Conjecture

$f(q + 2) = 2q - 2.$

Theorem (Bichara, Korchmáros, 1980)

Let R be a set of $q + 2$ lines in \mathcal{L} , then there are at most 2 lines without triple points.

Proof. Assume there are 3 lines without triple points. Wlog they are $x = 0$, $y = 0$, and the line at infinity. But then each other point on these lines intersects exactly one of the remaining q lines of R .

The remaining lines are $a_i(x - b_i)$ with $\{a_i\} = \{b_i\} = \{a_i b_i\} = \mathbb{F}_q^\times$. But $\prod_{x \in \mathbb{F}_q^\times} x = -1$ so $-1 = \prod a_i b_i = (\prod a_i)(\prod b_i) = (-1)(-1)$, a contradiction. □

Theorem (Jamison (1977), Brouwer and Schrijver (1978))

Any blocking set in \mathcal{P} contains at least $2q - 1$ points.

Proof. Let B be a blocking set. Wlog $(0, 0) \in B$. Consider

$$f(x, y) = \prod_{(a_i, b_i) \in B \setminus \{(0,0)\}} (a_i x + b_i y - 1).$$

Then for each $(a, b) \neq (0, 0)$ the line $ua + vb - 1$ meets $B \setminus \{(0, 0)\}$, so $f(a, b) = 0$. But $f(0, 0) = \pm 1$.

Write $f(x, y) \equiv g(x, y) \pmod{(x^q - x, y^q - y)}$, with $\deg_x g, \deg_y g < q$.

Then xg is identically zero on \mathbb{F}_q^2 . Thus $xg \in (x^q - x, y^q - y)$. But $\deg_y g < q$, so $x^q - x \mid xg$ and so $x^{q-1} - 1 \mid g$. Similarly $y^{q-1} - 1 \mid g$.

But then $(x^{q-1} - 1)(y^{q-1} - 1) \mid g$, so $\deg_{\text{total}} f \geq \deg_{\text{total}} g \geq 2q - 2$.

Hence $|B \setminus \{(0, 0)\}| \geq 2q - 2$ and $|B| \geq 2q - 1$. □

$$f(q + 2)$$

Lemma

Suppose $|R| = q + 2$ and at least one line of R has no triple points. Then $|\mathcal{P}^\circ(R)| \geq 2q - 2$.

Proof. Assume the line at infinity ℓ_∞ lies in R and has no triple points. Then in the Affine plane, no two finite lines of R are parallel. As there are $q + 1$ finite lines, every line in \mathbb{F}_q^2 must be parallel to a unique line of R . Claim: $\mathcal{P}^\circ(R) \cap \mathbb{F}_q^2$ blocks all lines except those of R that have no triple point.

Proof. If $\ell \notin R$ then ℓ meets an odd number $(q + 1 - 1)$ of finite lines of R and so has an odd point.

If $\ell \in R$ and R has a triple point, then not all points on ℓ intersect another element of R . Such a point is single, so odd.

Finally, we can assume there are at most 1 finite line of R without triple points and this can be blocked by adding a single point to $\mathcal{P}^\circ(R)$. Thus $|\mathcal{P}^\circ(R)| + 1 \geq 2q - 1$.

$$f(q + 2)$$

If every line of R has a triple point, the best we can do is $|\mathcal{P}^\circ(R)| \geq \frac{3}{2}(q + 1)$.

We know $f(q + 2) = 2q - 2$ for $q \leq 13$.

Other constructions

For $r \approx 3q/2$, $f(r)$ is quite small due to the following construction (due to J. di Paola):

Let $Q^+ \subseteq \mathbb{F}_q$ be the set of non-zero quadratic residues, and $Q^- \subseteq \mathbb{F}_q$ the set of quadratic non-residues. Define

$$Q = \{[x:0:1] : x \in Q^+\} \cup \{[1:x:0] : x \in Q^+\} \cup \{[0:1:x] : -x \in Q^-\}.$$

Then $|\mathcal{L}^\circ(Q)| = |Q| = 3(q-1)/2$, so $f(3(q-1)/2) \leq 3(q-1)/2$.

Similar constructions show that $f(r)$ is small near $2q, 5q/2, 3q, 7q/2, \dots$

Open problems

- Calculating or just estimating $g(r)$ for $q + 1 < r < (q + 1)(q + 2)/2$.
- Proving $f(q + 2) = 2q - 2$.
- Determining at what point $f(r)$ becomes $O(q)$ as r increases.
- Determining a (polynomial time) algorithm for calculating $f(r)$ for all r .
- Non-Desarguesian planes? (The $f(r)$ is affected by the structure of the plane.)

The End